

Corollary 3.22 (Reeb) *If M is a compact smooth manifold without boundary of dimension m admitting a Morse function $f : M \rightarrow \mathbb{R}$ with only 2 critical points, then M is homeomorphic to the m -sphere S^m .*

Proof:

Let $f : M \rightarrow \mathbb{R}$ be a smooth function with exactly 2 critical points. Since M is compact, f attains a maximum at some point $p_+ \in M$ and a minimum at some point $p_- \in M$. Let $f(p_+) = z_+$ and $f(p_-) = z_-$. The Morse Lemma (Lemma 3.11) implies that there exists an open neighborhood U_+ of p_+ and coordinates (u_1, \dots, u_m) on which $f(x) = z_+ - (u_1^2 + \dots + u_m^2)$. Hence for some $b < z_+$ but close to z_+ , the set $D_+ = f^{-1}([b, z_+]) = \{(u_1, \dots, u_m) | u_1^2 + \dots + u_m^2 \leq z_+ - b\}$ is diffeomorphic to the closed m -disk D^m . Similarly, for some $a > z_-$ but close to z_- the set $D_- = f^{-1}([z_-, a])$ is also diffeomorphic to D^m . By Corollary 3.21, $f^{-1}([a, b])$ is diffeomorphic to $S^{m-1} \times [0, 1]$. To get the homeomorphism with S^m we put together D_- , $S^{m-1} \times [0, 1]$, and D_+ .

Let q_{\pm} be the north and south pole of S^m respectively, and let B_{\pm} be disjoint neighborhoods of $q_{\pm} \in S^m$ diffeomorphic to D^m so that $C = S^m - \text{Int}(B_+ \cup B_-) \approx S^{m-1} \times [0, 1]$ and $\partial C = \partial B_+ \cup \partial B_-$. Let $h_+ : D_+ \rightarrow B_+ \approx D^m$ be the diffeomorphism given by the Morse Lemma. Extend $h_+|_{\partial D_+} : \partial D_+ \rightarrow \partial B_+$ to a diffeomorphism from $\partial D_+ \times [0, 1] \rightarrow \partial B_+ \times [0, 1]$. Since $\partial D_+ \times [0, 1]$ is diffeomorphic to $f^{-1}([a, b])$, this gives an extension of h_+ to a homeomorphism

$$h : D_+ \cup f^{-1}([a, b]) \rightarrow B_+ \cup C.$$

Let g_0 be the restriction of h to ∂D_- ,

$$g_0 : \partial D_- \approx S^{m-1} \rightarrow \partial B_- \approx S^{m-1}$$

and extend g_0 radially to a homeomorphism $g : D_- \approx D^m \rightarrow B_- \approx D^m$, i.e.

$$g(x) = \begin{cases} 0 & \text{if } x = 0 \\ \|x\| g_0\left(\frac{x}{\|x\|}\right) & \text{if } x \neq 0. \end{cases}$$

Putting together h and g we get a homeomorphism from M to S^m .

□

Remark 3.23 The homeomorphism in Corollary 3.22 may fail to be a diffeomorphism. We refer the reader to Chapter X of [96] for more details. In particular, see Section X.7 of [96] for a good historical introduction to the classification of smooth structures on S^m .