

**Corollary 3.22 (Reeb)** *If  $M$  is a compact smooth manifold without boundary of dimension  $m$  admitting a Morse function  $f : M \rightarrow \mathbb{R}$  with only 2 critical points, then  $M$  is homeomorphic to the  $m$ -sphere  $S^m$ .*

Proof:

Let  $f : M \rightarrow \mathbb{R}$  be a smooth function with exactly 2 critical points. Since  $M$  is compact,  $f$  attains a maximum at some point  $p_+ \in M$  and a minimum at some point  $p_- \in M$ . Let  $f(p_+) = z_+$  and  $f(p_-) = z_-$ . The Morse Lemma (Lemma 3.11) implies that there exists an open neighborhood  $U_+$  of  $p_+$  and coordinates  $(u_1, \dots, u_m)$  on which  $f(x) = z_+ - (u_1^2 + \dots + u_m^2)$ . Hence for some  $b < z_+$  but close to  $z_+$ , the set  $D_+ = f^{-1}([b, z_+]) = \{(u_1, \dots, u_m) | u_1^2 + \dots + u_m^2 \leq z_+ - b\}$  is diffeomorphic to the closed  $m$ -disk  $D^m$ . Similarly, for some  $a > z_-$  but close to  $z_-$  the set  $D_- = f^{-1}([z_-, a])$  is also diffeomorphic to  $D^m$ . By Corollary 3.21,  $f^{-1}([a, b])$  is diffeomorphic to  $S^{m-1} \times [0, 1]$ . To get the homeomorphism with  $S^m$  we put together  $D_-, S^{m-1} \times [0, 1]$ , and  $D_+$ .

Let  $q_\pm$  be the north and south pole of  $S^m$  respectively, and let  $B_\pm$  be disjoint neighborhoods of  $q_\pm \in S^m$  diffeomorphic to  $D^m$  so that  $C = S^m - \text{Int}(B_+ \cup B_-) \approx S^{m-1} \times [0, 1]$  and  $\partial C = \partial B_+ \cup \partial B_-$ . Let  $h_+ : D_+ \rightarrow B_+ \approx D^m$  be the diffeomorphism given by the Morse Lemma. Extend  $h_+|_{\partial D_+} : \partial D_+ \rightarrow \partial B_+$  to a diffeomorphism from  $\partial D_+ \times [0, 1] \rightarrow \partial B_+ \times [0, 1]$ . Since  $\partial D_+ \times [0, 1]$  is diffeomorphic to  $f^{-1}([a, b])$ , this gives an extension of  $h_+$  to a homeomorphism

$$h : D_+ \cup f^{-1}([a, b]) \rightarrow B_+ \cup C.$$

Let  $g_0$  be the restriction of  $h$  to  $\partial D_-$ ,

$$g_0 : \partial D_- \approx S^{m-1} \rightarrow \partial B_- \approx S^{m-1}$$

and extend  $g_0$  radially to a homeomorphism  $g : D_- \approx D^m \rightarrow B_- \approx D^m$ , i.e.

$$g(x) = \begin{cases} 0 & \text{if } x = 0 \\ \|x\| g_0\left(\frac{x}{\|x\|}\right) & \text{if } x \neq 0. \end{cases}$$

Putting together  $h$  and  $g$  we get a homeomorphism from  $M$  to  $S^m$ .

□

**Remark 3.23** The homeomorphism in Corollary 3.22 may fail to be a diffeomorphism. We refer the reader to Chapter X of [96] for more details. In particular, see Section X.7 of [96] for a good historical introduction to the classification of smooth structures on  $S^m$ .